

Time-resolved electron spectroscopy for chemical analysis of

photodissociation: Photoelectron spectra of Fe(CO)₅, Fe(CO)₄ and

Fe(CO)₃

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The prototypical photoinduced dissociation of $Fe(CO)_5$ in the gas phase is used to test timeresolved x-ray photoelectron spectroscopy for studying photochemical reactions. Upon onephoton excitation at 266 nm Fe(CO)₅ successively dissociates to Fe(CO)₄ and Fe(CO)₃ along a pathway where both fragments retain the singlet multiplicity of Fe(CO)₅. The x-ray freeelectron laser FLASH is used to probe the reaction intermediates $Fe(CO)_4$ and $Fe(CO)_3$ with time-resolved valence and core-level photoelectron spectroscopy and experimental results are interpreted with ab initio quantum chemical calculations. Changes in the valence photoelectron spectra are shown to reflect changes in the valence-orbital interactions upon Fe-CO dissociation thereby validating fundamental theoretical concepts in Fe-CO bonding. Chemical shifts of CO 3σ inner-valence and Fe 3p core-level binding energies are shown to correlate with changes in the coordination number of the Fe center. We interpret this with coordination-dependent charge localization and core-hole screening based on calculated changes in electron-densities upon core-hole creation in the final ionic states. This extends the established capabilities of steady-state electron spectroscopy for chemical analysis (ESCA) to time-resolved investigations. It could also serve as a benchmark for how charge and spin density changes in molecular dissociation and excited-state dynamics are expressed in valence and core-level photoelectron spectroscopy.

INTRODUCTION

Publishing

The photodissociation of $Fe(CO)_5$ has always been a prototypical text-book example for understanding how the reactivity in organometallic photoreactions and the dynamics of charge and spin densities are correlated [1-4]. For this understanding the gas-phase case can be regarded as reference. Due to the reduced complexity in the gas phase in the absence of solute-solvent interactions the photodissociation of gas-phase Fe(CO)₅ can be regarded a benchmark for new methods to extract charge- and spin-density dynamics from new experimental observables [5]. The loss of multiple CO ligands upon UV photolysis of gasphase Fe(CO)₅ to form Fe(CO)₄ and Fe(CO)₃ has been established early on [5-8]. Starting the dissociation reactions from a singlet ground state in Fe(CO)₅, the multiplicity of the ground states of $Fe(CO)_4$ and $Fe(CO)_3$ in rare-gas matrices was determined experimentally to be triplet [5,9]. The triplet ground state of Fe(CO)₄ was confirmed theoretically for the gas phase [10-12]. Based on a combination of earlier evidence [9] with new measured time constants from femtosecond-resolution optical ionization experiments, Fuss and co-workers then proposed a singlet pathway for sequential Fe(CO)₅ photodissociation to Fe(CO)₄ and $Fe(CO)_3$ (in contrast to synchronous dissociation to $Fe(CO)_4$ and $Fe(CO)_3$ simultaneously) [13]. This was summarized by Poliakoff and Turner [14] and is illustrated in Figure 1 (a): After electronic excitation at a wavelength of 266 nm, the dissociation was proposed to proceed from excited singlet-state $Fe(CO)_5$ to excited singlet-state $Fe(CO)_4$ (¹A₁) within less than 100 fs with subsequent dissociation of a second CO to $Fe(CO)_3$ with a time constant of 3.3 ps. This singlet pathway was partially confirmed by the detection of singlet-state Fe(CO)₄ and its structural characterization in the gas phase with time-resolved electron diffraction by lhee, Zewail and co-workers [15], albeit with a temporal resolution of 10-20 ps and after twophoton excitation with 620 nm.



We recently reported an experimental proof for successive dissociation of $Fe(CO)_5$ in the gas phase firstly to $Fe(CO)_4$ and secondly to $Fe(CO)_3$ along a singlet pathway with time-resolved optical pump and x-ray probe photoelectron spectroscopy at the x-ray free-electron laser FLASH combined with atomic crystal-field calculations [16]. The detection of free CO molecules based on an unambiguous assignment of the valence photoelectron peaks of uncoordinated CO directly revealed the step-wise occurrence of CO in the dissociation reaction. Multiplet effects in the Fe 3p core-level photoelectron spectra and the reflected intra-atomic Fe core-valence interactions furthermore allowed to determine the singlet spin state of the $Fe(CO)_4$ and $Fe(CO)_3$ photofragments [16].

Extending this study with a detailed analysis with ab initio quantum chemical calculations and additional experimental details we now report how changes in coordination and charge density or bonding are reflected in the valence and core-level photoelectron spectra of the short-lived reaction intermediates. This study builds on the previously reported kinetic model for sequential dissociation [13,16]. Knowing the relative amounts of all species in the sample as a function of time allows appropriately subtracting the remaining Fe(CO)5 contributions from the measured spectra to extract valence and Fe 3p core-level photoelectron spectra of $Fe(CO)_4$ and $Fe(CO)_3$. The $Fe(CO)_4$ and $Fe(CO)_3$ photoelectron spectra are compared here to the $Fe(CO)_5$ spectra and the observed chemical shifts are explained with calculated spectra and core-hole induced changes in molecular-orbital interactions, orbital populations and electron densities. We demonstrate how time-resolved valence and core-level photoelectron spectroscopy [17-24] thereby enable to test fundamental concepts for frontier-orbital interactions and charge-density changes in the dissociation of Fe-CO bonds [25,26]. CO to Fe σ donation and Fe to CO π back donation are reduced upon dissociation and charge can be thought of as to flow back to the isolated



constituents upon deligation as schematically shown for two of the occupied molecular orbitals in Figure 1 (b) (along the displayed arrows). Here we investigate how these changes in chemical bonding are expressed in the valence and core-level photoelectron spectra. This way of probing valence electronic structure changes upon Fe(CO)₅ dissociation in the gas phase complements our earlier investigations of Fe(CO)₅ dissociation in solution with time-resolved resonant inelastic x-ray scattering (RIXS) [27,28]. Our study contributes to demonstrating how time-resolved photoelectron spectroscopy complements other x-ray based probes of molecular gas-phase dynamics using ion spectroscopy [29-32], x-ray absorption spectroscopy [33-37] and x-ray scattering [38] and it relates to core-hole induced dynamical effects in steady-state x-ray spectroscopy [30,31,39-42]. Our study extends the demonstrated capabilities of time-resolved photoelectron spectroscopy with optical probe pulses [18,43] and it shows how x-ray photoelectron spectroscopy complements other x-ray methods for the investigation of molecular dynamics [44-50].

MATERIALS AND METHODS

Experimental set up

The experiment was performed at the x-ray free-electron laser FLASH in Hamburg (Germany) [51,52] with a previously described set up for pump-probe photoelectron spectroscopy [53] as installed at the PG2 plane grating monochromator beamline [54-56] of the FLASH facility. Some experimental details were partly published previously in ref. [16] and they are further discussed here. The experimental set up is schematically depicted in Figure 2. Fe(CO)₅ dissociation was initiated with a pump photon at 266 nm and soft x-ray pulses from FLASH



were used to probe the electronic structure and its evolution with time-resolved x-ray

Sample preparation

The Fe(CO)₅ and CO samples were prepared in an effusive jet. Fe(CO)₅ was purchased from Sigma Aldrich and used without further purification. The liquid sample was evaporated and entered the ultrahigh-vacuum chamber of the set up [53] via a metal tube placed closely below the interaction region.. The pressure in the experimental chamber was typically 2-4 10⁻⁷ mbar during experiments. The sample was kept in the dark and replaced by fresh sample for each measurement shift to avoid photodecomposition of the sample before reaching the interaction region.

Pump-probe set up

Pump and probe pulses propagated nearly collinearly through the interaction region where pump pulses were coupled into the experimental chamber with a mirror with a central hole on the x-ray propagation axis (Fig. 2). Spatial overlap of pump and probe pulses was established by overlaying the spots of both beams on a Ce:YAG screen in the plane of the gas jet. Temporal overlap was established with an accuracy of ±500 fs from the pump-probe signal at 7.3 eV (Fig. 3) by stepwise comparing the photoelectron spectra for a series of nested delay time intervals on a LeCroy digital oscilloscope. The overall temporal resolution was measured with the photoelectron spectra to be 1.0±0.3 ps (Gaussian FWHM). The temporal resolution was limited by i) the temporal jitter between electron bunch and optical laser (~500 fs FWHM [57] at the time of data acquisition), ii) slow drifts of the effective relative arrival time of pump and probe pulses on a minute scale, and iii) the x-ray pulse



stretching by the PG2 beamline monochromator grating. With the employed spot size (see below) the pointing jitter of pump and probe beams was negligible.

Optical pump beam parameters and Fe(CO)₅ excitation yield

The optical laser used for photo-excitation of the sample had a wavelength of 266 nm obtained by third-harmonic generation of a Ti:Sa laser of the FLASH facility with a pulse duration of the 266 nm pulses of 150 fs at a repetition rate of 10 Hz (2 mJ/pulse and pulse durations of 60 fs for the 800 nm fundamental) [58]. The employed pulse energy was 25 µJ/pulse (third-harmonic generation with two BBO frequency conversion crystals in sequential arrangement with UV dielectric mirrors to separate fundamental and second harmonic). The intensity of the pump-probe signal (one of the transient valence photoelectron signals) is plotted as a function of the pump-pulse energy in Figure 3. It saturates at a pump-pulse energy of around 35 µJ/pulse and we thus chose to pump with 25 µJ/pulse to stay in a regime with non-saturated signals. We found no indication of multiphoton nor any other non-linear effects by the pump laser. The spot size of the 266 nm radiation as measured on the Ce:YAG screen in the interaction region was 500 µm in the horizontal and 700 µm in the vertical (FWHM values as determined with a two-dimensional Gauss fit of the imaged spot) thus well over-illuminating the x-ray probe beam spot. With the corresponding pump fluence of 7 mJ/cm² (pump peak intensity $1.2 \cdot 10^{11}$ W/cm²) a fraction of 6.2±0.4 % of the sample was excited (the employed pump intensity is higher than the 10⁹ W/cm² used in [13] and lower than the 10¹⁴ W/cm² used in [6] or the 10¹³ W/cm² for 2-photon excitation with 620 nm in [15]). The kinetic model developed in ref. [16] is reproduced in Figure 4 (a). The detected $Fe(CO)_5$ content and its depletion are shown as a function of pump-probe delay time in Figure 4 (b).

blishing FLASH x-ray probe beam parameters

The mean photon energy was set to 123 eV with an FEL bandwidth of about 1% and the repetition rate of the experiment was 10 Hz. The x-ray pulse energies before the monochromator amounted to 20-40 µJ/pulse as measured with a gas monitor detector provided by the FLASH facility [59]. The x-ray pulse duration before the monochromator was approximately 100 fs (FWHM) as determined by electron-bunch length measurements [60]. The PG2 beamline is a grating monochromator beamline where we used a 200 l/mm grating [54-56]. The exit slit was set to a size of 200 µm and the cri values used was 1.5. This resulted in a bandwidth of 0.1 eV (FWHM). The x-ray pulse energies at the sample (after the monochromator) were estimated to be 0.1-1 µJ corresponding to 10¹⁰-10¹¹ photons/s (at 10 Hz and in an 0.1 % bandwidth). The x-ray spot size was measured in the interaction region by imaging the spot on a Ce:YAG screen installed in the experimental vacuum chamber in the plane of the gas jet. The x-ray spot had an elliptical shape with a measured size of 280 µm in the horizontal and 400 µm in the vertical for the respective FWHM values as determined with a two-dimensional Gauss fit of the imaged spot.

Photoelectron spectroscopy

Photoelectrons were detected at an angle of 90° with respect to the propagation axes of pump and probe beams (Figure 2). Photoelectron kinetic energies were analyzed with a magnetic-bottle-type time-of-flight electron spectrometer where electrons were amplified and detected by a multi-channel-plate stack operated in current mode [53]. A retardation voltage of 95 V was used for valence photoelectron spectroscopy and 30 V for core-level photoelectron spectroscopy to suppress contributions from slow electrons to the spectrum. The electron-energy bandwidth of the spectrometer amounts to 5 % of the pass energy (of



the retarded kinetic energy). For the kinetic energies of 118-103 eV in valence photoelectron spectroscopy the pass energies were thus 23-8 eV and the bandwidth resulted in 0.4-1.2 eV (Gaussian FWHM). For the kinetic energy of 60 eV (88 eV) in Fe 3p core-level (CO 3σ innervalence) photoelectron spectroscopy the pass energies was thus 30 eV (60 eV) and the bandwidth resulted in 1.5 eV (3 eV, Gaussian FWHM).

Data acquisition and analysis

The electron yield was stored via a digital oscilloscope or directly to the FLASH data storage system via a network connected 10 bit Acgiris digitizer system provided by FLASH. For enabling sorting of the data with respect to pump-probe delay times photoelectron energy distributions were measured separately for each shot. Shots with very few or no x-ray photons were discarded from the analysis. Correction of the pump-probe delay time (minute/hour drifts of the effective relative arrival time of pump and probe pulses) was done by sorting the measured data according to the correlation of optical laser pulses and dipole radiation from the FLASH electron bunches as measured with a streak camera [58]. As the streak camera gave an averaged signal, a shot-to-shot correction of the pump-probe delay time was not available at the time of the experiment. The time-delay bin size was 50 fs in the raw data and they were re-binned to 300 fs for valence photoelectron spectra and to 250 fs for core-level photoelectron spectra in the displayed data. Accumulation times amounted to 3.9 h for the reported valence photoelectron spectra (~150.000 shots) and 4.7 h for the reported core-level photoelectron spectra (~170.000 shots). The binding-energy axis was calibrated with well-known binding energies of rare-gas samples and cross-checked with published binding energies for spectra of Fe(CO)₅. Intensity of the spectra was normalized for each time-delay bin to the same spectral area hence to the same total number of



electron counts per spectrum (normalization to the incident photon flux I_0 was not possible because a signal proportional to I_0 after the monochromator exit slit could not be measured).

Multiconfigurational self-consistent-field calculations of geometries and binding energies Geometry optimization and photoelectron binding energy calculations were performed within multiconfigurational self-consistent field (MCSCF) theory, using the MOLCAS 8.0 software [61]. The geometries of the $Fe(CO)_5$ complex and its photoproducts $Fe(CO)_4$ and Fe(CO)₃ were optimized at the CASPT2(12,12) level of theory [62] using a basis set of triplezeta valence with polarization quality (ANO-RCC-VTZP) [63,64]. In this quantum chemical treatment electronic relaxation in the final states is accurately treated similar to the calculations of Grell et al. [65]. The photoelectron binding energies including spin-orbit coupling were computed as energy differences between the CASPT2(10,10) (for the valence spectra) and RASSCF(3,10,0;16,1,0) (for the core-level spectra) neutral initial and cationic final states [61]. Specifically, the vertical transition energies from the initial ground state of the gas-phase geometry to an electronically relaxed final ionized state, including relativistic effects, but neglecting the zero point energy, were calculated. The active space for constructing the restricted active space SCF (RASSCF) [66] wavefunction of the initial state and the final ionized states comprised 10 - 9 for the ion – electrons in 10 valence orbitals, including Fe 3d. All possible permutations of the 10 electrons (9 for the valence ionized states) over these orbitals were permitted in the calculations. Since the set of orbitals does not involve Fe 3p, the active space in the calculations of the Fe 3p photoelectron spectra also contained these three orbitals, limited to have at most one hole in order to allow for photoionization. The active spaces of the initial and final, ionized, states are denoted RASSCF(16,1,0;3,10,0) and RASSCF(15,1,0; 3,10,0) respectively. To ensure convergence in the



RASSCF, the Fe 3p orbitals were kept fixed at their shape from a preceding Hartree-Fock calculation of the initial ground state. As there were no restrictions on the orbital occupation within the active space of the Fe 3d spectrum calculations, the initial and final (valence ionized) wavefunctions were equivalent to complete active space SCF (CASSCF(10,10)/CASSCF(9,10)) wavefunctions [67]. The calculated Fe 3d binding energies included dynamic electron correlation effects through a second order perturbative treatment of the multiconfigurational wavefunctions (CASPT2). Relativistic effects were taken into account in two steps, using the scheme implemented in MOLCAS. First, based on configuration state functions of specific multiplicities, the set of multiconfigurational states were calculated in a spin-free approach, with the scalar terms of the second order Douglas-Kroll transformation of the relativistic Hamiltonian [68,69]. Through state averaging, the orbitals of each ion were optimized for the energy average of the lowest final states, giving rise to the main spectral lines. They are natural orbitals derived from the state-averaged density matrix and below we discuss their natural occupation numbers. In the second step, the spin-orbit coupling matrix elements were computed using the RASSCF/CASPT2 energies of these 3/4 states as the interaction between wavefunctions of pure multiplicities [70]. In order to give accurate results, all strongly coupled states must be included, and the active space must be sufficiently flexible to describe them well. Transition intensities of the different fine-structure components could not be calculated and were arbitrarily set to the

same values.

Calculations of convoluted line spectra

From the calculations, we obtain for each initial state a set of transitions (stick spectrum) representing the discrete set of ionization channels. For the calculated valence



photoelectron spectra each transition (stick) was replaced by a Gaussian profile of corresponding binding energy and intensity with a FWHM of 1.1 eV reflecting the experimental bandwidth (combined photon-energy and electron-kinetic-energy bandwidths). For the calculated Fe 3p core-level photoelectron spectra, each stick was replaced by a Lorentzian profile of corresponding binding energy and intensity with a FWHM of 1.2 eV to account for lifetime broadening [71]. The resulting sum of Lorentzians was convoluted with the experimental bandwidth of 1.5 eV (FWHM, Gaussian profile resulting from the combined photon energy and electron kinetic energy bandwidths).

Calculations of charge densities and orbital populations upon Fe 3p core-hole creation

The changes in the electronic structure (charge densities) upon ionization of the Fe 3p core orbitals were rationalized in terms of electron density differences between the average density of the three final ionic states and the density of the ground state. This was complemented with calculated differences in the orbital occupation numbers obtained for the final and initial RASSCF wavefunctions.

RESULTS AND DISCUSSION

The measured time-resolved valence photoelectron spectra following photoexcitation of $Fe(CO)_5$ in the gas phase at 266 nm with dissociation to $Fe(CO)_4$ within less than 100 fs and subsequent dissociation of $Fe(CO)_4$ to $Fe(CO)_3$ with a time constant of 3.3 ps are shown in Figure 5 (a). The spectra are dominated by peaks due to photoionization from the Fe 3d orbitals at 8 and 9.5 eV and CO σ and π orbitals at 14.5 and 18 eV in $Fe(CO)_5$ [16,72-74]. Depletion of these $Fe(CO)_5$ signals is visible for increasing pump-probe time delay as well as



new intensities due to $Fe(CO)_4$, $Fe(CO)_3$ and free CO arising at 7 and 17 eV (emphasized by the 6 ps transient difference spectrum in Figure 5 (a)). The kinetic model in Figure 4 (a) as established in our earlier study [16] can now be used to determine the pump-probe delay times with maximal $Fe(CO)_4$ and $Fe(CO)_3$ contributions. According to this kinetic model the $Fe(CO)_4$ and $Fe(CO)_3$ contributions are maximal at 0.7 ps and 6 ps, respectively. The fitted Fe(CO)₄:Fe(CO)₃ ratios amount to 0.74:0.21 at 0.7 ps (with a remaining fraction of 0.05 of Fe(CO)₅ which, in the fit shown Figure 4 (a) corresponds to the optically excited Fe(CO)₅ molecules only) and 0.13:0.87 at 6 ps. The kinetic model furthermore allows us to determine the Fe(CO)₅ content in the sample, including the non-excited Fe(CO)₅ molecules, as a function of pump-probe delay time as shown in Figure 4 (b). We determine the Fe(CO)₅ contents at 0.7 ps and 6 ps to 0.940 and 0.935, respectively. Subtracting the Fe(CO)₅ spectrum scaled by these amounts from the spectra at 0.7 ps and 6 ps in Figure 5 (a) resulted in the valence photoelectron spectra dominantly representing $Fe(CO)_4$, $Fe(CO)_3$ and free CO also shown in Figure 5 (a). At binding energies above 13 eV signals of free CO dominate the underlying signals of CO bound in $Fe(CO)_4$ and $Fe(CO)_3$. With the aim of characterizing bonding in the short-lived reaction intermediates $Fe(CO)_4$ and $Fe(CO)_3$ by a detailed analysis of the photoelectron spectral shapes we therefore concentrate on the Fe 3d peaks in $Fe(CO)_4$ and $Fe(CO)_3$ as compared to $Fe(CO)_5$ at around 8 eV (our current signal to noise ratio precludes subtracting the free CO signals of the spectra in Figure 5 (a) in addition to subtracting the Fe(CO)₅ contributions).

The shift of the Fe 3d peaks by -0.7 eV when going from $Fe(CO)_5$ to $Fe(CO)_4$ and the redistribution of intensity from the high to the low binding-energy multiplet peak as well as the negligible changes between $Fe(CO)_4$ and $Fe(CO)_3$ are reproduced by our calculated spectra based on the multiconfigurational quantum chemical approach in Figure 5 (b). We

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here assign the calculated ionic final -state energies in the spectra to ionizations from the respective molecular orbitals according to the calculated molecular-orbital diagrams shown in Figure 5 (b). This cannot be expected to result in a one-to-one correspondence of peak binding energies and orbital energies but it approximately links the spectral changes to changes in the frontier-orbital interactions upon deligation. Under mean-field approximation, Koopmans' theorem states that electron binding energies can be represented by Hartree-Fock orbital energies. However, different ionic final states experience different electron relaxation, and accurate calculations require explicit calculations of each final state. In many systems, high-level multi-configurational calculations are required for trustworthy simulations of photoelectron spectra. Notice that in Figure 5 (b) we compare the experimental data to calculated binding energies including explicit treatment of the initial and final states in the ionization process, whereas in Figure 5 (c) we depict the orbital level diagram. The validity of this approach was demonstrated for the valence photoelectron spectrum of Fe(CO)₅ [72,73], it has been used in the interpretation of x-ray spectroscopic results of CO bound to Fe [75] and is used here for interpretation of the $Fe(CO)_4$ and $Fe(CO)_3$ spectra (we discard the LUMO as only occupied orbitals are probed with photoelectron spectroscopy). We also note that there are small differences between our calculated MO diagram and the one reported in ref. [26]. We believe that this is due to the differences in the computational methods used.

The step-wise detachment of carbonyl groups results in changes in point group symmetry from D_{3h} in Fe(CO)₅ to C_{2v} in Fe(CO)₄ and subsequently C_s in Fe(CO)₃, and we use the corresponding irreducible representations in Figure 5 (b) to denote the orbitals. It is known that removing CO from Fe(CO)₅ splits e'' into a_2 and b_1 and e' into b_2 and a_1 in Fe(CO)₄ and a_2 is the only orbital not involved in bonding as it overlaps only weakly with the ligand orbitals



[26]. This is confirmed by our calculations (Figure 5 (b)) which show as the a_2 peak in Fe(CO)₄ retains the energy of the e'' peaks in $Fe(CO)_5$. All other orbitals (a₁, b₂ and b₁) are involved in Fe-CO bonding and are hence delocalized to some extent over all ligands [26]. Removing one ligand reduces the interactions of the a_1 , b_2 and b_1 orbitals with ligand orbitals. In Figure 1 (b) this reduction of orbital interactions upon ligand dissociation is depicted for the π -backdonation interactions of the b_2 orbital. With a simple picture in mind where reducing covalent interactions increases the energies of occupied orbitals the increase of orbital energies of a_1 , b_2 and b_1 (Figure 5 (b)) can be thereby intuitively understood. This assessment is validated by our calculations as the calculated binding energies of the corresponding photoelectron peaks decrease. The reduction of covalent interactions thus explains the experimentally observed shift of the Fe 3d peaks to lower binding energies when going from $Fe(CO)_5$ to $Fe(CO)_4$. Likewise, the calculated redistribution of energies of the respective Fe 3d fine-structure components also explains the redistribution of spectral weight from higher to lower binding energies in the Fe 3d peaks in experiment. The orbital-energy changes from $Fe(CO)_4$ to $Fe(CO)_3$ are known to be much smaller than the changes from $Fe(CO)_5$ to $Fe(CO)_4$ [1,73] explaining corresponding negligible spectral differences in both experiment and theory between $Fe(CO)_4$ and $Fe(CO)_3$. Our data thus validates fundamental theoretical concepts of how valence molecular-orbital interactions change upon Fe-CO deligation [25,26].

Having established how the changes in coordination and charge density or bonding are reflected in the valence photoelectron spectra, we now turn to the inner-valence and element- and site-specific core-level photoelectron spectra. The aim is to analyze in detail the chemical shifts of the spectra in the spirit of the original publications on electron spectroscopy for chemical analysis (ESCA) [76-78].



The measured time-resolved inner-valence and core-level photoelectron spectra and their differences are shown in Figures 6 (a) and (b) for selected delays. Based on seminal photoelectron spectroscopy investigations of Cr(CO)₆ and of CO deposited on surfaces [79-82] the broad peak around 36 eV can be straightforwardly assigned to electron emission from the inner-valence 3 σ orbital of CO bound in Fe(CO)₅ where the 3 σ orbital is derived from the atomic 2s orbitals of C and O. The peak at 63 eV was determined before to arise from emission from the Fe 3p core level in Fe(CO)₅ [83] and we analyzed its shape in our previous study for determining the spin states of $Fe(CO)_5$, $Fe(CO)_4$ at 0.7 ps and $Fe(CO)_3$ at 6 ps to singlet [16]. The region in between from 43 to 58 eV is dominated by so-called shakeup satellites [79,82] and it is not further analyzed here. The temporal evolutions of the binding-energy regions 1-4 (Figure 6 (b)) are plotted in Figure 7 (a-d) and they are found to agree well with the kinetic model also plotted in Figure 7. Due to the limited signal to noise ratio of our data in Figure 7 we do not claim this agreement to represent an independent confirmation of the kinetic model. We merely use the agreement to assign regions 1-4 to the corresponding species: Fe(CO)₅ depletion in region 1, sum of Fe(CO)₄ and Fe(CO)₃ in region 2, rise of free CO in region 3, and depletion of CO bound in $Fe(CO)_5$ and $Fe(CO)_4$ in region 4 (equaling the negative of the rise of free CO). Following our procedure for the valence photoelectron spectra and based on the determined amount of Fe(CO)₅ as a function of pump-probe delay time, the photoelectron spectra with dominant fractions of $Fe(CO)_4$, $Fe(CO)_3$ and free CO were determined by subtracting appropriately scaled $Fe(CO)_5$ spectra from the spectra shown in Figure 6 (a) at 0.7 and 6 ps. The resulting CO 3σ inner-valence and Fe 3p core-level photoelectron spectra of $Fe(CO)_5$, $Fe(CO)_4$ plus free CO and $Fe(CO)_3$ plus free CO are displayed in Figure 8 (data were integrated over ± 0.2 ps around the given delays). Illustrating the established notion of site-specificity of core-level photoelectron



spectroscopy, these spectra reflect the different chemical shifts of the Fe 3p peak in Fe(CO)₅, $Fe(CO)_4$ and $Fe(CO)_3$ and of the 3 σ peak of CO bound in $Fe(CO)_5$, $Fe(CO)_4$, and $Fe(CO)_3$ and of free CO. The CO 3σ peak shifts by +2.8 eV (to higher binding energies) when going from CO bound in $Fe(CO)_5$ to free CO. This transient shift is comparable (in sign and direction) to the steady-state shift of +2.5 eV measured for CO bound in Cr(CO)₆ relative to free CO [79,82]. Furthermore, and with less certainty due to missing calculations, the 30 peak of CO bound in $Fe(CO)_4$ is found to shift by -2 to -3 eV relative to $Fe(CO)_5$ with a negligible shift in $Fe(CO)_3$ compared to $Fe(CO)_4$ (see the shoulders around 34 eV in the 0.7 and 6 ps spectra in Figure 8, albeit poorly resolved due to a larger bandwidth in this binding energy region, see MATERIALS AND METHODS). The Fe 3p peak shifts by -2.2 eV (to lower binding energies) when going from Fe(CO)₅ to Fe(CO)₄ and does not further change for Fe(CO)₃ compared to $Fe(CO)_4$. Dissociation of $Fe(CO)_5$ thus creates a number of different classes of chemically different environments for Fe and CO and our data demonstrate how their evolution in time can be selectively probed with time-resolved core-level photoelectron spectroscopy via their different chemical shifts.

We now turn to a detailed analysis of the Fe 3p binding energy which decreases from $Fe(CO)_5$ to $Fe(CO)_4$ and therefore seems to correlate with coordination of the Fe center. For this we first remind that the valence photoelectron spectra were analyzed based on changes in neutral ground-state molecular-orbital energies (no ionization included) and these changes were found to correlate with shifts of corresponding ionic final-state photoelectron peaks. The question arises how to relate the changes in the one-electron molecular-orbital interactions to the Fe 3p binding energy shifts. The fact that the Fe 3p peak does not shift further when going from $Fe(CO)_4$ to $Fe(CO)_3$ shows that there is no linear relationship between Fe 3p chemical shift and coordination.



We use calculated spectra to analyze this finding and the Fe 3p photoelectron spectra of singlet-state Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃ as calculated with our MCSCF approach are displayed in Figure 9. The spectra qualitatively reproduce the experimentally observed shift to lower binding energies when going from Fe(CO)₅ to Fe(CO)₄ and the negligible shift when comparing Fe(CO)₄ and Fe(CO)₃. The shift, however, is underestimated by about 50 % compared to experiment probably due to the neglect of core-orbital relaxation upon structural changes. In turn, this approximation of not allowing the structure and core orbitals to relax upon 3p ionization enables quantifying the effect of core-hole creation on the electronic structure of the system. Following the established notions of steady-state corelevel photoelectron spectroscopy [76-78] this therefore allows us to explain the Fe 3p chemical shift with core-hole effects in the ionic final states of the systems [84,85]. This represents our first and well-controlled step towards understanding the Fe 3p shift and forthcoming analyses will have to show how important effects due to structural and core-orbital relaxation are.

To quantify core-hole effects on the electronic structure and following classical approaches to quantifying core-hole effects [79,81] we evaluate the calculated electron-density differences (differences between the electronic structures with and without Fe 3p core hole) for Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃ in Figure 10. We find in our calculations that Fe 3p corehole creation causes electron-density changes throughout the molecule. Fe(CO)₅ serves as a reference and additional changes in Fe(CO)₄ or Fe(CO)₃ explain the calculated chemical shifts with respect to Fe(CO)₅. Fe 3p core-hole creation in Fe(CO)₅ apparently enhances σ -bonding in the Fe-CO bonds (electron-density increase between Fe and C, Figure 10) and polarizes the ligands (electron-density increase at C and decrease at O, Figure 10). These changes also occur in Fe(CO)₄ and Fe(CO)₃ in the intact Fe-CO bonds and the chemical shift upon



deligation has to be explained by additional electron-density changes that are visible locally at the Fe (within 1-2 Å). Indeed, in Fe(CO)₄ the core hole (spherical electron-density decrease around Fe in Figure 10) is additionally screened by a strong electron-density increase located along the broken bond (site 1 in Figure 10) thus indicating a correlation between core-hole screening or chemical shift and coordination. This enhanced screening explains the Fe 3p shift to lower binding energies in Fe(CO)₄. Inspection of the shapes of all calculated orbitals shows that this increase is due to the localization of the HOMO a₁ orbital along the direction of the disrupted bond upon 3p core-hole creation (orbital sketch for site 1 in Figure 10, the shapes of all other orbitals remain unchanged). Apparently, deligation gives the occupied orbital that is oriented along the dissociated bond the freedom to reshape and thereby charge is localized accordingly. As a first indication of a general phenomenon we find that this screening effect is also present in Fe(CO)₃ at the site where the second ligand was taken away (site 2 in Figure 10). Again, the occupied orbital that is oriented along the dissociated bond (for site 2 this is the b_1/a' orbital sketched in Figure 10) localizes in the direction of the disrupted bond and thereby electron density increases in this direction upon core-hole creation. In Fe(CO)₃, however, we find an additional core-hole effect at site 1 when taking away the ligand at site 2 that seems to compensate the screening thus balancing the chemical shift. This is revealed when inspecting the orbital populations of occupied and unoccupied orbitals upon Fe 3p core hole creation, see Table 1. In addition to orbital reshaping, Fe 3p core-hole creation in Fe(CO)₃ entails population transfer from occupied to unoccupied molecular orbitals and mostly from HOMO e'/ a_1/a' (-0.14) to LUMO $a_1'/a_1/a'$ (+0.35). In Fe(CO)₄ and Fe(CO)₅, in contrast, all occupied orbitals equally loose population while the LUMO gains in population and the orbital population changes are smaller than in $Fe(CO)_3$. For $Fe(CO)_3$, this transfer of orbital population from the localized HOMO e'/a₁/a' to



the comparably delocalized LUMO $a_1'/a_1/a'$ (the LUMO considerably extends out to the CO ligands, see [1,26]) effectively corresponds to, upon core-hole creation, charge delocalization from Fe into the plane of the molecule. This results in electron-density decrease between equatorial ligands as is visible in the electron-density differences at the corresponding site 1 in Fe(CO)₃ (marked with * in Figure 10). Therefore both, depopulation of the HOMO e'/a₁/a' (localized along site 1) and the electron-density decrease between equatorial ligands compensate the electron-density increase at site 1 by orbital reshaping thus limiting the chemical shift in Fe(CO)₃ to the effect at site 2. This, we believe explains, the lack in change of the Fe 3p binding energy when going from Fe(CO)₄ to Fe(CO)₃.

The way final-state core-hole effects determine Fe 3p core-level binding energies in Fe(CO)₅, $Fe(CO)_4$ and $Fe(CO)_3$ is summarized with the total-energy diagram shown in Figure 11. Dissociation of $Fe(CO)_5$ to $Fe(CO)_4$ and $Fe(CO)_3$ corresponds to increasing the ground-state energy of the system by 2.1 and 4.1 eV, respectively. These (neutral) ground states are the initial states for the photoemission process and the Fe 3p binding energy corresponds to the energy difference between this ground state and the (ionic) final states (for simplicity, in Figure 11 we consider the average of the three final states only). With $Fe(CO)_5$ as a reference and without changes in the ability to screen the Fe 3p core hole in $Fe(CO)_4$ and $Fe(CO)_3$ compared to Fe(CO)₅, the Fe 3p binding energies would remain unchanged compared to $Fe(CO)_5$ (shift of 0 eV in Figure 11). The additional capabilities for screening the core hole in the core-hole states of $Fe(CO)_4$ or $Fe(CO)_3$ by orbital reshaping as depicted in Figure 10, however, reduce the Fe 3p binding energy E_B of Fe(CO)₅. This additional screening stabilizes the Fe 3p core-hole states and causes the observed shifts to lower binding energies from $Fe(CO)_5$ to $Fe(CO)_4$ or $Fe(CO)_3$ ($E_B^{expt.}-E_B<0$ in experiment or $E^{theo.}-E_B<0$ in theory, note that the accuracy with which the dissociation energies are calculated does not affect the accuracy



of the calculated binding-energy shifts $E_B^{\text{theo.-}}E_B$). The binding energy does not change from $Fe(CO)_4$ to $Fe(CO)_3$ because $Fe(CO)_3$ does not exhibit any additional screening capabilities compared to $Fe(CO)_4$. Further studies will show how general this concept of correlating metal core-level binding-energy shifts with coordination in metal complexes is. A preliminary comparison of Fe-CN and Fe-CO bonds at the geometries studied here indicates that the calculated shift may be independent of the nature of the ligand. This points at a general concept for probing metal coordination in complexes similar to the established "excited-atom model" [86] explaining ligand core-level binding-energy shifts upon coordination to metal atoms in complexes or on surfaces [80,81].

SUMMARY AND CONCLUSIONS

Coordination and charge density or bonding of the short-lived reaction intermediates Fe(CO)₄ and Fe(CO)₃ in Fe(CO)₅ photodissociation are revealed with optical pump and x-ray probe photoelectron spectroscopy at an x-ray free-electron laser in combination with ab initio quantum-chemical calculations. We show how time-resolved valence photoelectron spectroscopy reflects changes in Fe-CO bonding and how this validates fundamental concepts for changes in frontier-orbital interactions in the dissociation of Fe-CO bonds. With time-resolved core-level photoelectron spectroscopy we selectively probe and follow in time the evolution of different classes of chemically different environments of Fe and CO by measuring their transiently evolving chemical shifts. Multiconfigurational self-consistent field calculations are used to explain the Fe 3p core-level binding energy shifts upon Fe-CO dissociation with core-hole induced charge localization effects which enhance the capability to screen the core hole. The Fe 3p core-level binding energy shifts to lower energies from



 $Fe(CO)_5$ to $Fe(CO)_4$ and this is shown to be due to a stabilization of the core hole in $Fe(CO)_4$ due to enhanced screening by localized charge at the Fe core-hole site. For $Fe(CO)_3$ we also find this increased charge localization at the Fe core-hole site and we trace its origin back to a reshaped occupied orbitals along the broken Fe-CO bond directions. In Fe(CO)₃ additional changes in orbital populations with charge being transferred from the Fe site out to the ligands compensate this enhanced core hole screening thereby resulting in a constant binding energy from $Fe(CO)_4$ to $Fe(CO)_3$. This correlation of core-level binding energy, coordination and valence orbital shapes and populations may represent the first step to establishing a new way of probing coordination in 3d transition-metal complexes. In a more general sense our results benchmark time-resolved optical pump and x-ray probe photoelectron spectroscopy for extracting charge-density dynamics in organometallic photoreactions. They further extend the demonstrated capabilities of steady-state electron spectroscopy for chemical analysis (ESCA) and of time-resolved photoelectron spectroscopy with optical probe pulses thus complementing other time-resolved experimental techniques for the investigation of photochemical reactions.

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Figure 1. (a) Molecular structure models depicting the coordination of the Fe center with CO ligands in Fe(CO)₅ and illustrating successive CO loss to form the coordinatively unsaturated photofragments Fe(CO)₄ and Fe(CO)₃ and schematic depiction of the singlet pathway with time constants for gas-phase photodissociation of Fe(CO)₅ upon absorption of a photon at 266 nm [13, 16] highlighting spin states (singlet and triplet multiplicities) of ground states (GS) and selected excited states (ES) of Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃. (b) Changes in some of the valence electron orbital interactions with Fe-CO dissociation with σ donation (blue, Fe-centered lowest unoccupied molecular orbital, LUMO, interacting with CO highest occupied molecular orbital, HOMO) and π back donation (red, Fe-centered HOMO interacting with CO LUMO, symmetries of Fe-centered orbitals for Fe(CO)₄ geometry, different phases depicted with open/closed lobes).





Figure 2. Schematic of the experimental set up with the effusive gas jet, the magnetic-bottle type electron spectrometer (magnetic tip, drift tube and detector, with exemplary electron trajectories), in-coupling of optical laser pump pulses (wavelength 266 nm) collinear with the soft x-ray probe pulses from the FLASH x-ray free-electron laser sources (Hamburg, Germany).





Figure 3. Variation of the pump-probe effect (photoelectron intensity of the peak at 7.3 eV in the transient difference spectra in Fig. 1 (b) of ref. [16], see also the difference spectrum Fig. 5 (a)) with pump-laser pulse energy (normalized to one at maximum). Throughout this study a pump-pulse energy of 25 μ J/pulse was used (pump fluence 7 mJ/cm², pump peak intensity 1.2 \cdot 10¹¹ W/cm²).

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Figure 4. (a) Components of the kinetic model developed in ref. [16] representing populations of corresponding species for sequential $Fe(CO)_5$ photodissociation firstly to $Fe(CO)_4$ and CO (termed CO1 here) and secondly to $Fe(CO)_3$ and CO (termed CO2 here). (b) Measured integrated intensities versus pump-probe delay time representing $Fe(CO)_5$ depletion (closed circles, integrated for binding-energy region 9.7-10.0 eV in the transient difference spectra in Fig. 1 (a) in ref. [16], see also the difference spectrum in Fig. 5 (a)) with the best fit of the kinetic model for $Fe(CO)_5$ depletion and corresponding to the $Fe(CO)_5$ content in the sample (solid line, intensities normalized to one at maximum). Other measured integrated intensities used to fit the kinetic model for binding-energy regions representing $Fe(CO)_4$, $Fe(CO)_3$, CO1 and CO2 can be found in ref. [16].







Figure 5. (a) Measured valence photoelectron spectra at indicated pump-probe delay times (dashed: transient difference spectrum at + 6 ps, multiplied by 10) with dominant contributions (from top to bottom) of Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃ (dashed: separately measured spectrum of free CO). (b) Multi-configurational self-consistent-field (MCSCF) calculations of valence photoelectron spectra of Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃ (all in singlet state) and of a molecular-orbital diagram (π interactions red, σ interactions blue, orbital symmetries e', e'' for geometries of Fe(CO)₅, a₁, b₂, b₁, a₂ for Fe(CO)₄, a', a'' for Fe(CO)₃.





Figure 6. (a) Fe 3p core-level and CO 3 σ inner-valence spectra of Fe(CO)₅ measured at a photon energy of 123 eV and the given delay times after optical excitation at 266 nm. (b) Difference spectra at indicated delay times (positive delays are pump before probe pulses, for each difference spectrum the spectrum corresponding to unpumped molecules at -3 ps was subtracted from the measured intensities). Intensities at -3.0 ps normalized to one at maximum, numbers 1-4 are binding-energy regions for which the temporal evolution of intensities is plotted in Fig. 7. Data in the Fe 3p binding-energy region were previously published in ref. [16].







Figure 7. (a)-(d) Measured integrated intensities versus pump-probe delay time (closed circles) with the kinetic model (solid lines) taken from Fig. 4 without adjustments of parameters (except for relative intensities). Intensities (normalized to one/minus one at maximum/minimum) were integrated for binding-energy regions (a) 62-65.5 eV (peak 1 in Fig. 6), (b) 58.5-62 eV (peak 2 in Fig. 6), (c) 38-42 eV (peak 3 in Fig. 6), and (d) 35-38 eV (peak 4 in Fig. 6). Solid lines in (a)-(d) are (a) depletion of $Fe(CO)_5$, (b) sum of $Fe(CO)_4$ and $Fe(CO)_3$ s, (c) sum of CO1 and CO2, and (d) depletion of CO bound in $Fe(CO)_5$ and $Fe(CO)_4$. Data in (b) (up to 6 ps) were previously published in ref. [16].



Figure 8. Fe 3p core-level and CO 3 σ inner-valence photoelectron spectra of Fe(CO)₅, Fe(CO)₄, Fe(CO)₃, and free CO where bars indicate the different binding energies (chemical shifts) of the different chemical sites of (in the order of decreasing energy) Fe 3p peak of Fe(CO)₅, Fe 3p peak of Fe(CO)₄ and Fe(CO)₃, CO 3 σ peak of free CO, CO 3 σ peak of CO in Fe(CO)₅, Fe(CO)₅





Figure 9. Multiconfigurational self-consistent-field (MCSCF) calculations of Fe 3p photoelectron spectra for singletstate $Fe(CO)_5$, $Fe(CO)_4$ and $Fe(CO)_3$ (sticks are calculated binding energies of the final ionic states, $Fe(CO)_5$ spectrum shifted to match experiment with 63 eV at maximum, $Fe(CO)_4$ and $Fe(CO)_3$ spectra shifted by the same amount, intensities of all spectra normalized at maximum).







Figure 10. Calculated electron-density changes in $Fe(CO)_5$, $Fe(CO)_4$ and $Fe(CO)_3$ upon 3p core-hole creation visualizing the changing capability for screening the core hole with changing coordination (electron-density differences plotted as contour lines from subtracting electron densities without core hole from electron densities with core hole in the respective species, plotted in the vertical plane indicated in the top left panel, decreasing/increasing electron density in red/blue, contour line spacings are 0.0005 electrons for $Fe(CO)_5$, 0.0006 electrons for $Fe(CO)_4$ and 0.001 electrons for $Fe(CO)_3$). Positions where CO was removed are marked with 1 (equatorial CO) and 2 (axial CO). Bottom panels illustrate calculated changes of shapes of the a_1/a' and b_1/a' orbitals upon 3p core-hole creation for removal of an equatorial (1) and an axial CO (2) (orbital symmetries a_1/a' and b_1/a' for $Fe(CO)_4/Fe(CO)_3$ geometries). Fe 3p photoelectron spectra corresponding to these electron-density differences are plotted in Fig. 9.



Orbital	dπ				d _σ *	d_{π}^{*}
	e"/a₂/a"	e"/b ₁ /a'	e'/b₂/a''	e'/a ₁ /a'	a <u>ı</u> '/a ₁ /a'	4 MOs
Fe(CO) ₅	-0.02	-0.02	-0.04	-0.04	+0.11	+0.04
Fe(CO) ₄	-0.02	-0.04	-0.04	-0.06	+0.18	-0.03
Fe(CO) ₃	-0.05	-0.05	-0.06	-0.14	+0.35	-0.06

Table 1. Multiconfigurational self-consistent-field (MCSCF) calculations of natural orbital populations upon 3p core-hole creation for Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃. Population changes (orbital population with minus without 3p core hole) are given in fractions of one electron for four occupied d_{π} and one unoccupied d_{σ}^* orbital (orbitals listed in the order of increasing orbital energy from left to right, orbital symmetries given for Fe(CO)₅/Fe(CO)₄/Fe(CO)₃ geometries, the column denoted d_{π}^* gives the summed changes of four unoccupied orbitals, one occupied d_{π} orbital with nearly constant population is not shown, a total of ten orbitals was used in the active space).





Figure 11. Total-energy diagram drawn to scale with energies of neutral initial ground states and ionic final coreexcited $3p^{-1}$ states of Fe(CO)₅, Fe(CO)₄ and Fe(CO)₃ from experiment ($E_B^{expt.}$) and multiconfigurational selfconsistent-field (MCSCF) calculations ($E_B^{theo.}$ are mean values averaged for the different final ionic states in each system, the initial ground-state energy of Fe(CO)₅ was set to zero, the calculated dissociation energies of +2.1 and +4.1 eV define the initial-state energies of Fe(CO)₄ and Fe(CO)₃).

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